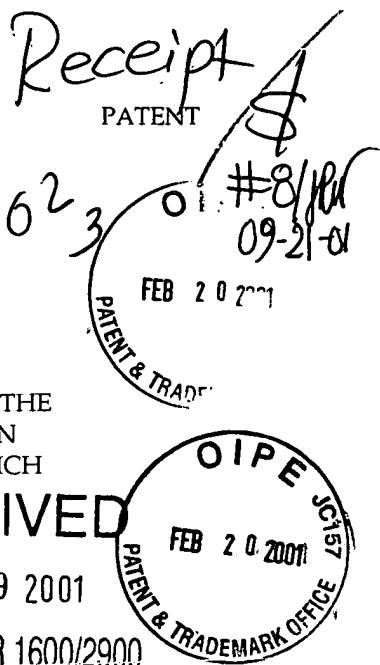


02-26-01

Attorney Docket No.: 009621-3456 (Axonyx-NYU-4)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

C. Soto-Jara

Art Unit : 1646

Serial No. : 09/706,540

Filed : November 4, 2000

For : PEPTIDE ANALOGS AND MIMETICS SUITABLE FOR IN VIVO USE IN THE TREATMENT OF DISEASES ASSOCIATED WITH ABNORMAL PROTEIN FOLDING INTO AMYLOID, AMYLOID-LIKE DEPOSITS OR β -SHEET RICH PATHOLOGICAL PRECURSOR THEREOF

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JUN 19 2001

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- A check in the amount of \$240.00 is enclosed to cover the payment of 3 Independent Claims.
- There is an error with respect to the following data which is:
 incorrectly entered and/or omitted.
- Complete the following applicable item A or B:
 - The correction(s) is/are not due to any error by applicant(s) and no fee is due.
 - At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 should be charged to Account No. _____.

| ERROR IN | CORRECT DATA |
|---|----------------------------------|
| 1. <input type="checkbox"/> Applicants' Names | 1. |
| 2. <input checked="" type="checkbox"/> Applicants' Addresses | 2. Laconnex, Geneva, Switzerland |
| 3. <input type="checkbox"/> Title | 3. |
| 4. <input type="checkbox"/> Filing Date | 4. |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application Re: | 6. |
| 7. <input checked="" type="checkbox"/> Other Number of Independent Claims | 7. 6 |

Gibbons, Del Deo, Dolan, Griffinger & Vecchione
One Riverfront Plaza
Newark NJ 07102-5497

02/28/2001 SSANDARA 0000005 09706540

01 FC:102

240.00 OP

Diane L. Ferrone
Diane L. Ferrone (Registration No. 36,135)
Attorney for Applicant(s)
Tel : (973) 596-4879
Fax: (973) 639-6337

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Signature:
(Print Name)

Tracie Calderone
Tracie Calderone

Date: February 16, 2001



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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/706,540 | 11/04/2000 | 1646 | 710 | AXONYX-NYU-4 | 8 | 19 | 16 |

26345
GIBBONS, DEL DEO, DOLAN, GRIFFINGER & VECCHIONE
1 RIVERFRONT PLAZA
NEWARK, NJ 07102-5497

FILING RECEIPT



OC000000005756745

Date Mailed: 02/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Claudio Soto-Jara, Residence, NOT PROVIDED, Laconnex, Geneva, Switzerland
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Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/163,911 11/05/1999

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Foreign Applications

If Required, Foreign Filing License Granted 02/12/2001

Title

Peptide analogs and mimetics suitable for in vivo use in the treatment of diseases associated with abnormal protein folding into amyloid, amyloid-like deposits or beta-sheet rich pathological precursor thereof

Preliminary Class

530

Data entry by : DILLON, LAWANDA

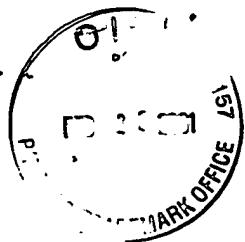
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Bib Data Sheet

CONFIRMATION NO. 6335

| | | | | |
|-----------------------------|-----------------------------------|-------|------------------------|--|
| SERIAL NUMBER 09/706,540 | FILING DATE 11/04/2000 RULE | CLASS | GROUP ART UNIT 1647 | ATTORNEY DOCKET NO. AXONYX-NYU-4 |
|-----------------------------|-----------------------------------|-------|------------------------|--|

APPLICANTS

Claudio Soto-Jara, Laconnex Geneva, SWITZERLAND;

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**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/163,911 11/05/1999

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GRANTED ** 02/12/2001

| | | | | |
|---------------------------------|---|---------------------|--------------------|-------------------------|
| Foreign Priority claimed | <input type="checkbox"/> yes <input type="checkbox"/> no | | | |
| 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | |
| Verified and Acknowledged | Examiner's Signature _____ Initials _____ | | | |
| | STATE OR COUNTRY SWITZERLAND | SHEETS DRAWING 8 | TOTAL CLAIMS 19 | INDEPENDENT CLAIMS 6 |

ADDRESS

26345

TITLE

Peptide analogs and mimetics suitable for in vivo use in the treatment of diseases associated with abnormal protein folding into amyloid, amyloid-like deposits or beta-sheet rich pathological precursor thereof

| | | |
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| FILING FEE RECEIVED 1080 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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